

## On Tauberian and Co-Tauberian Operators

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(Presented by M. González)

AMS Subject Class. (2000): 46B03, 46B20

Received November 15, 2005

### 1. INTRODUCTION

A bounded linear operator  $T : X \rightarrow Y$  is said to be Tauberian if  $T^{**}(X^{**} \setminus X) \subseteq Y^{**} \setminus Y$ .

A bounded linear operator  $T : X \rightarrow Y$  is said to be co-Tauberian if  $T^*$  is Tauberian.

We call a Tauberian operator non-trivial if it is not an isomorphic embedding. We call a co-Tauberian operator non-trivial if it is not onto.

Tauberian operators appeared in [6] and were studied systematically in [1, 8, 13, 15]. A comprehensive survey on Tauberian operators and the isomorphic properties they preserve is provided in [8].

Recall that a bounded linear operator  $T : X \rightarrow Y$  is called a semi-embedding (see [14]) if  $T$  is one-to-one and the image  $T(B_X)$  of the unit ball  $B_X$  of  $X$  is closed in  $Y$ . It is known that to be a semi-embedding is not a hereditary property, that is, if  $T : X \rightarrow Y$  is a semi-embedding then restricted to each subspace  $E \subseteq X$ ,  $T|_E$  need not necessarily be a semi-embedding. This motivated for searching a notion of embedding which is hereditary and in [3],  $G_\delta$ -embedding was introduced. One could define a notion of “hereditary semi-embedding”. However it turned out, as proved in [15, Theorem 2.3], that such a class of operators coincides exactly with one-to-one Tauberian operators.

Note that just the existence of a non-isomorphic semi-embedding  $T : X \rightarrow Y$  already provides us with some information on  $X$ . The following result was obtained in [4, Theorem 2]:

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<sup>1</sup>Partially supported by the Institute for Advanced Studies in Mathematics at Ben-Gurion University of the Negev.

<sup>2</sup>Partially supported by Israel Science Foundation, Grant No. 139/03.

THEOREM A. *Let  $X$  be a separable Banach space. The following assertions are equivalent:*

- (a)  *$X$  contains a subspace isomorphic to an infinite dimensional dual space.*
- (b) *There exists a Banach space  $Z$  and a semi-embedding of  $X$  into  $Z$  which is not an isomorphic embedding.*

Our main objective in the Section 2 of this note is to obtain a result parallel to Theorem A with Tauberian and co-Tauberian operators. We show that a Banach space  $X$  contains an infinite dimensional reflexive subspace if and only if there exists a Banach space  $Z$  and a one-to-one non-trivial Tauberian operator  $T : X \rightarrow Z$ . For co-Tauberian operator we prove that a Banach space has an infinite dimensional reflexive quotient if and only if there exists a Banach space  $Z$  and a one-to-one dense range co-Tauberian operator from  $Z$  into  $X$ .

In Section 3 we consider Banach spaces from which there exists a Tauberian operator to  $c_0$ . In Theorem 3.1 we give a necessary and sufficient condition for existence of a Tauberian operator  $T : X \rightarrow c_0$ , when  $X$  is separable. We use this result to provide a generalization of a result in [12]. Another application of Theorem 3.1 connected to the set  $NA(X^*)$ , of all norm attaining functionals on a dual Banach space  $X^*$  is the following:

Let  $X^{**}$  is separable. Then there exists a renorming of  $X$  such that for any subspace  $E \subseteq X^{**}$ , satisfying  $E \cap X = \{0\}$  and  $E \subseteq NA(X^*)$ ,  $\dim E < \infty$  holds, that is,  $X$  is essentially the only infinite dimensional subspace contained in  $NA(X^*)$ .

The condition for existence of a co-Tauberian operator from  $c_0$  to  $X$  is more stringent and we need to consider special classes of Banach spaces.

All Banach spaces in this note are real and infinite-dimensional. Our notations are standard (see [11]). For example the closed unit ball and the unit sphere of a Banach space  $X$  will be denoted by  $B_X$  and  $S_X$  respectively. All subspaces we consider are assumed to be closed.

## 2. REFLEXIVE SUBSPACE AND QUOTIENT

The following theorem characterizes Banach spaces containing reflexive subspaces. As mentioned in the introduction, this parallels Theorem A with Tauberian operator.

THEOREM 2.1. *Let  $X$  be a Banach space. The following assertions are equivalent:*

- (a)  $X$  contains a reflexive subspace.
- (b) There exist a Banach space  $Z$  and a non-trivial one-to-one Tauberian operator  $T : X \rightarrow Z$ .

*Proof.* (a)  $\Rightarrow$  (b): Let  $R \subseteq X$  be a reflexive subspace. Without loss of generality we assume that  $R$  has a basis  $\{x_i\}$  and  $\|x_i\| = 1$ . Let  $Q : X \rightarrow X/R$  be the quotient map. Then  $\ker Q = R$  is reflexive and  $Q$  has closed range. Thus  $Q$  is Tauberian (see [13]).

Now let  $\{f_i\}$  be a bounded sequence in  $X^*$  such that  $f_i(x_j) = \delta_{ij}$ . Define  $K : X \rightarrow R$  by

$$K(x) = \sum_{i=1}^{\infty} 2^{-i} f_i(x) x_i.$$

Then  $K$  is a compact operator from  $X$  to  $R$ . We take  $Z = X/R \oplus R$  and define the operator  $T : X \rightarrow Z$  by

$$T(x) = (Q(x) + K(x)).$$

We claim  $T$  is the desired one-to-one Tauberian operator.

First note that  $T$  is one-to-one. To check  $T$  is Tauberian, let us assume  $x^{**} \in X^{**}$  be such that  $T^{**}x^{**} \in Z$ . We need to show  $x^{**} \in X$ . But  $T^{**}x^{**} = Q^{**}x^{**} + K^{**}x^{**}$  and since  $K$  is compact, we have  $K^{**}x^{**} \in R$ . Thus  $Q^{**}x^{**} \in X/R$  and since  $Q$  is Tauberian we have  $x^{**} \in X$ .

(b)  $\Rightarrow$  (a): This was proved in [13]. We include a proof for completion. Suppose  $X$  does not contain a reflexive subspace. Let  $Y$  be any Banach space and  $T : X \rightarrow Y$  one-to-one Tauberian. If  $T$  is not an isomorphism then it is well known that there exists a subspace  $Z \subseteq X$  such that the restriction  $T|_Z$  is a compact operator. But  $T|_Z$  is Tauberian as well, hence  $Z$  must be reflexive. ■

*Remark 2.2.* (a) A proof similar to (b)  $\Rightarrow$  (a) in the Theorem 2.1 actually shows more. Namely, if  $T : X \rightarrow Y$  is a Tauberian operator which is not an isomorphism on each subspace of  $X$  of finite codimension then  $X$  contains a reflexive subspace.

(b) In the proof of (a)  $\Rightarrow$  (b) in the Theorem 2.1 we made a compact perturbation  $Q + K$  of the quotient map  $Q$  in order to obtain a one-to-one Tauberian operator. In [9, Theorem 1], the following ‘‘perturbative’’ characterization of Tauberian operators is given: A continuous operator  $T : X \rightarrow Y$

is Tauberian if and only if for every compact operator  $K : X \rightarrow Y$ , the  $\ker(T + K)$  is reflexive.

We now proceed to get a characterization of Banach spaces which contain a reflexive quotient by means of co-Tauberian operators.

**THEOREM 2.3.** *Let  $X$  be a Banach space. The following assertions are equivalent:*

- (a)  $X$  has a reflexive quotient.
- (b) There exists a Banach space  $Z$  and a non-trivial co-Tauberian operator  $T : Z \rightarrow X$  such that  $T(Z)$  is dense in  $X$ .

*Proof.* (a)  $\Rightarrow$  (b): Let  $Y \subseteq X$  be a subspace of  $X$  such that  $X/Y$  is reflexive. We denote the quotient map from  $X$  to  $X/Y$  by  $Q$  and the inclusion map of  $Y$  into  $X$  by  $J$ . Then  $J$  is a co-Tauberian operator. Without loss of generality we assume that  $X/Y$  has a basis  $\{z_i\}$  and we further assume that  $\{z_i\}$  is normalized. Let  $\{h_i\}$  be a bounded sequence in  $(X/Y)^*$  such that  $h_i(z_j) = \delta_{ij}$ . We find  $\{y_i\} \subseteq B_X$  such that  $Q(y_i) = z_i$ . Let  $K : X/Y \rightarrow X$  be defined by

$$K(z) = \sum_{i=1}^{\infty} 2^{-i} h_i(z) y_i.$$

Then  $K$  is a compact operator. We now take  $T : Y \oplus X/Y \rightarrow X$  as

$$T(y, z) = J(y) + K(z).$$

It is easy to check that  $T$  has dense range. An argument similar to the proof of (a)  $\Rightarrow$  (b) in the Theorem 2.1 shows  $T^*$  is a one-to-one Tauberian operator. Hence  $T$  is co-Tauberian.

If  $T$  is onto, then  $T^*$  is an isomorphic embedding of  $X^*$  into  $Y^* \oplus Y^\perp$  and so is  $T^*|_{Y^\perp}$ . But  $T^*|_{Y^\perp}$  is compact and this contradicts that  $X/Y$  is infinite dimensional. Thus  $T$  is non-trivial.

(b)  $\Rightarrow$  (a): Let  $Z$  be a Banach space and  $T : Z \rightarrow X$  be a co-Tauberian operator such that  $T(Z)$  is dense in  $X$ . Suppose  $X$  does not have a reflexive quotient. By definition  $T^* : X^* \rightarrow Z^*$  is Tauberian and  $X^*$  does not have a reflexive subspace. Then by Theorem 2.1 we have  $T^*$  is an isomorphic embedding and hence  $T$  has closed range. ■

*Remark 2.4.* (a) As in the Tauberian case, one can show more with a proof similar to (b)  $\Rightarrow$  (a) of the Theorem 2.3. Namely, let  $Z$  be a Banach space and

$T : Z \rightarrow X$  be a co-Tauberian operator. If  $X$  does not have a reflexive quotient then there exists a finite codimensional subspace of  $X$  which is isomorphic to a quotient of  $Z$ .

(b) In the proof of (a)  $\Rightarrow$  (b) in the Theorem 2.3 we made a compact perturbation  $J + K$  of the inclusion map  $J$  in order to obtain a dense range co-Tauberian operator. In [9, Theorem] the authors obtained the following ‘‘perturbative’’ characterization of co-Tauberian operators: A continuous operator  $T : X \rightarrow Y$  is co-Tauberian if and only if for every compact operator  $K : X \rightarrow Y$ , the co-kernel  $Y/(\overline{(T + K)(X)})$  is reflexive.

### 3. TAUBERIAN OPERATORS INTO $c_0$

In this section we give necessary and sufficient condition for the existence of Tauberian operator from a separable Banach space  $X$  to  $c_0$ . We then provide two applications of our result.

By Remark 2.2, it follows that if there exists a Tauberian operator  $T : X \rightarrow c_0$ , then either  $X$  contains a reflexive subspace or  $X$  is isomorphic to a subspace of  $c_0$ . Similar conclusion holds by replacing  $c_0$  with  $C(K)$  spaces,  $K$  scattered.

For a  $\{g_n\} \subseteq S_{X^*}$  be a  $w^*$ -null sequence we define the following subspace of  $X^{**}$ :

$$M(\{g_n\}) = \{F \in X^{**} : \lim_n F(g_n) = 0\}.$$

Following is the main result in this section:

**THEOREM 3.1.** *Let  $X$  be a separable Banach space. The following assertions are equivalent:*

- (a) *There exists a  $w^*$ -null sequence  $\{g_n\} \subseteq S_{X^*}$  such that  $M(\{g_n\})$  is separable.*
- (b) *There exists a Tauberian operator  $T : X \rightarrow c_0$ .*

To prove Theorem 3.1 we need the following two lemmas.

**LEMMA 3.2.** *Let  $X$  be separable Banach space. Then for each  $F \in X^{**} \setminus X$  there is a  $w^*$ -null sequence  $\{f_i\} \subseteq S_{X^*}$  such that  $\lim F(f_i) = d(F, X)$ .*

*Proof.* Let  $F \in X^{**} \setminus X$ . Denote  $q : X^{**} \rightarrow X^{**}/X$  a quotient map. Since  $(X^{**}/X)^* = X^\perp$  there exists  $G \in S_{X^\perp}$  such that  $G(F) = \|q(F)\| = d(F, X)$ . Next, since  $w^* - cl S_{X^*} = B_{X^{***}}$ , there exists a net  $\{g_\alpha\} \subseteq S_{X^*}$

such that  $w^* \lim g_\alpha = G$ . In particular,  $\lim F(g_\alpha) = G(F) = d(F, X)$ . Since  $G \in X^\perp$ ,  $\lim g_\alpha(x) = 0$  for each  $x \in X$ . We now find the required sequence  $\{f_n\}$ .

Let  $\{x_n\} \subseteq S_X$  be a dense sequence. Define

$$V_{F, x_1, \dots, x_n} = \{\tau \in X^{***} : \max_{1 \leq i \leq n} |\tau(x_i)| < 1/n, |(\tau - G)(F)| < 1/n\}.$$

Clearly,  $V_{F, x_1, \dots, x_n}$  is  $w^*$ -neighborhood of  $G$  in  $X^{***}$  (recall that  $G(x_i) = 0$ ,  $i = 1, 2, \dots, n$ ). We choose  $g_{\alpha_n} \in V_{F, x_1, \dots, x_n}$ . Then  $F(g_{\alpha_n}) \rightarrow G(F)$  and  $g_{\alpha_n}|_X \rightarrow 0$ . Finally, put  $f_n = g_{\alpha_n}$ . ■

LEMMA 3.3. *Let  $X$  be a separable Banach space and  $Y \subseteq X^{**}$  be a separable subspace of  $X^{**}$ . Then there exists a sequence  $\{f_n\} \subseteq S_{X^*}$  with  $w^* - \lim f_n = 0$  and such that for each  $F \in Y$   $\limsup |F(f_n)| = d(F, X)$ .*

*Proof.* Let  $\{F_i\}$  be a dense sequence in  $S_Y \setminus X$ . By using Lemma 3.2, we find, for each  $i$ , a sequence  $\{f_n^i\} \subseteq S_{X^*}$  with  $w^* - \lim_n f_n^i = 0$  and such that  $\lim_n F_i(f_n^i) = d(F_i, X)$ . Since  $w^*$ -topology on  $B_{X^*}$  is metrizable, it follows that by throwing out a finite number of  $f_n^i$ 's for each  $i$ , we can get that the set  $\{f_n^i\}_{n,i=1}^\infty$  has 0 as only  $w^*$ -limit point. We enumerate  $\{f_n^i\}_{n,i=1}^\infty$  in a single sequence  $\{f_n\}$  and claim that it satisfies our requirement. Fix  $F \in S_Y \setminus X$  and find a sequence  $\{F_{i_k}\}$  such that  $\lim F_{i_k} = F$ . Clearly  $d(F_{i_k}, X) \rightarrow d(F, X)$ ,  $k \rightarrow \infty$ . For each  $k$  find an  $f_{n_k}$  such that  $|F_{i_k}(f_{n_k}) - d(F_{i_k}, X)| < 1/k$ . We have,

$$\begin{aligned} |F(f_{n_k}) - d(F, X)| &\leq |F(f_{n_k}) - F_{i_k}(f_{n_k})| + |F_{i_k}(f_{n_k}) - d(F_{i_k}, X)| \\ &\quad + |d(F_{i_k}, X) - d(F, X)| \\ &\leq \|F_{i_k} - F\| + \frac{1}{k} + |d(F_{i_k}, X) - d(F, X)| \xrightarrow{k \rightarrow \infty} 0. \end{aligned}$$

Therefore,  $\limsup_n |F(f_n)| \geq d(F, X)$ . The inverse inequality is clear since each  $w^*$ -limit point  $H$  of the set  $\{f_n\} \subseteq B_{X^{***}}$  belongs to  $B_{X^\perp}$  and hence  $|H(F)| \leq \|q(F)\| = d(F, X)$ . ■

*Proof of Theorem 3.1.* (a)  $\Rightarrow$  (b): Taking  $Y = M(\{g_n\})$  in Lemma 3.3 there is a  $w^*$ -null sequence  $\{f_n\} \subseteq S_{X^*}$  such that for each  $F \in M(\{g_n\}) \setminus X$   $\limsup |F(f_n)| = \text{dist}(F, X) > 0$ . Put  $\{h_n\} = \{f_n\} \cup \{g_n\}$  and define an operator  $T : X \rightarrow c_0$  as follows

$$Tx = (h_n(x))_{n=1}^\infty \in c_0, \quad x \in X.$$

It is easy to verify that  $T$  is a Tauberian operator.

(b)  $\Rightarrow$  (a): If  $T : X \rightarrow c_0$  Tauberian then consider  $g_n = T^*e_n$  where  $e_n$  is the standard vector basis of  $\ell_1$ . It is easy to see that  $M(\{g_n\}) = X$ .

The proof is complete. ■

**COROLLARY 3.4.** *Let  $X$  be a Banach space such that  $X^{**}$  is separable. Then there exists a non-trivial Tauberian operator  $T : X \rightarrow c_0$ .*

*Proof.* Existence of a Tauberian operator follows from Theorem 3.1. Since  $X^{**}$  is separable  $X$  cannot be isomorphic to a subspace of  $c_0$  and the non-triviality follows. ■

The following corollary generalizes a result by Johnson and Rosenthal in [12, Corollary 4.1].

**COROLLARY 3.5.** *Let  $X$  be a separable Banach space such that for some  $w^*$ -null sequence  $\{g_n\} \subseteq S_{X^*}$ ,  $M(\{g_n\})$  is separable. Then either  $X$  contains a reflexive subspace or  $X$  is isomorphic to a subspace of  $c_0$ .*

*Remark 3.6.* The space  $X = c_0 \oplus l_2$  shows that the class of spaces which satisfy the condition of Corollary 3.5, is wider than the class of spaces with separable bidual.

**THEOREM 3.7.** *Let  $X$  be a Banach space such that  $X^*$  is separable. Assume that  $X$  admits a Tauberian operator  $T : X \rightarrow c_0$  (for instance,  $X^{**}$  is separable, see Corollary 3.4). Then there exist an equivalent norm  $|||\cdot|||$  on  $X$  and a countable set  $B \subseteq S_{(X^*, |||\cdot|||)}$  such that for each functional  $F \in X^{**} \setminus X$  which attains its norm  $|||F|||$ , there is  $f \in B$  with  $F(f) = |||F|||$ .*

*Proof.* Let  $\{t_i\}$  be any sequence in  $S_{X^*}$  such that  $||\cdot|| - \text{cl co}\{\pm t_i\} = B_{X^*}$ . Denote  $\{e_i\}$  the canonical basis of  $l_1 = c_0^*$  and put  $g_i = \frac{1}{2}T^*e_i$ ,  $i = 1, 2, \dots$  and

$$B = \pm \bigcup_{i=1}^{\infty} \{t_i \pm g_j\}_{j=i}^{\infty}, \quad V^* = w^* - \text{cl co}B.$$

Let  $|||x||| = \max\{f(x) : f \in V^*\}$ ,  $x \in X$ . Clearly the norm  $|||\cdot|||$  is equivalent to the initial one and  $B_{(X, |||\cdot|||)^*} = V^*$ .

We show that  $B$  satisfies the statement of the proposition.

**CLAIM:** For each  $F \in X^{**} \setminus X$ ,  $|||F||| > \|F\|$ .

To see this, without loss of generality we may assume that  $\|F\| = 1$ . Put  $\gamma = \limsup |F(g_i)|$  and find  $j$  so that  $F(t_j) > 1 - \gamma/4$ . Next there exists  $k \geq j$  such that  $|F(g_k)| > \frac{3}{4}\gamma$ . We then have

$$|F(t_j + \text{sign}F(g_k)g_k)| = |F(t_j) + (\text{sign}F(g_k))F(g_k)| \geq 1 - \frac{\gamma}{4} + \frac{3}{4}\gamma = 1 + \frac{\gamma}{2}$$

which proves that claim.

Assume that  $F \in X^{**} \setminus X$  attains its norm  $\|F\|$ . The dual space  $X^*$ , being separable, has the Krein-Milman property and hence,  $F$  attains its norm on some extreme point of  $B_{(X, \|\cdot\|)}^*$ . By the Milman theorem

$$\text{ext}B_{(X, \|\cdot\|)}^* \subseteq w^* - \text{cl}B = B \cup (w^* - \text{cl}B \setminus B).$$

However,  $F$  cannot attain the norm  $\|F\|$  on any point of  $w^* - \text{cl}B \setminus B$ . Indeed, since  $\{g_i\}$  is  $w^*$ -null, each such point belongs to  $B_{X^*}$  and as we proved above  $\|F\| > \|F\|$ . Therefore  $F$  attains its norm  $\|F\|$  on some point of  $B$  which completes the proof. ■

For a Banach space  $X$  the set  $NA(X)$  of all norm-attaining functionals on  $B_X$  has been studied extensively. In [2] the authors considered the ‘‘spaceability’’ of the set  $NA(X)$ , that is, whether  $NA(X) \cup \{0\}$  contains a linear subspace. The following corollary shows that if  $X^{**}$  is separable, then there exists a renorming of  $X$  such that  $X$  is essentially the only subspace contained in  $NA(X^*)$ .

**COROLLARY 3.8.** *Suppose  $X^{**}$  is separable. Then there exists an equivalent norm  $\|\cdot\|$  on  $X$  such that if  $E \subseteq NA(X^*)$  is a closed subspace then  $\dim E/(E \cap X) < \infty$ .*

*Proof.* Suppose  $X^{**}$  is separable. By [12, Corollary 4.1],  $X^{**}$  is saturated by reflexive subspaces. Now consider the norm  $\|\cdot\|$  constructed in the Theorem 3.7. Let  $E \subseteq NA(X^*)$ . If  $\dim E/(E \cap X) = \infty$ , then there exists a subspace  $Z \subseteq E$ ,  $Z \cap X = \{0\}$ . But by Theorem 3.7, there exists a countable set  $B \subseteq S(X^*)$  such that for each  $F \in Z$  there is  $f \in B$  with  $F(f) = \|F\|$ . Also any  $f \in B$  acts naturally as a linear functional on  $Z$ . Hence  $Z$  has a countable boundary and by [5],  $Z$  is saturated by  $c_0$  and cannot contain any reflexive subspace. This contradiction proves the corollary. ■



4. CO-TAUBERIAN OPERATORS FROM  $c_0$ 

In this section we consider co-Tauberian operators from  $c_0$  to  $X$ . Analogous to the Tauberian case, it follows from Remark 2.4 that if  $T : c_0 \rightarrow X$  is co-Tauberian then either  $X$  has a reflexive quotient or  $X$  is isomorphic to a quotient of  $c_0$  and in the later case, it is well known that  $X$  is isomorphic to a subspace of  $c_0$ .

Recall that a series  $\sum x_n$  in  $X$  is called weakly unconditionally convergent (*wuC* for short) if for every  $x^* \in X^*$ ,  $\sum |x^*(x_n)|$  is convergent. It is well known that if  $\sum x_n$  is *wuC* then there is an  $M > 0$  such that  $\|\sum_{j=1}^n \alpha_j x_j\| \leq M \max_{1 \leq j \leq n} |\alpha_j|$  for all  $n$  and for all scalars  $\alpha_j$ . In [7], the following property was considered: Let  $X$  be a Banach space. Denote by  $\mathcal{A}$  the set of all series  $\sum f_n$  in  $X^*$  such that  $\sum |f_n(x)|$  is convergent for each  $x \in X$ . Let  $\sum^* f_n$  denotes the  $w^*$ -limit point in  $X^*$  of the series  $\sum f_n$ . The space  $X$  is said to have Property  $(\mathcal{X})$  if

$$\{F \in X^{**} : \sum F(f_n) = F(\sum^* f_n) \quad \forall \sum f_n \in \mathcal{A}\} = X.$$

We need to consider the following weak\*-version of Property  $(\mathcal{X})$ . For a *wuC*-series  $\sum x_n$  we denote by  $\sum^* x_n$  the  $w^*$ -limit point in  $X^{**}$ .

DEFINITION 4.1. A dual Banach space  $X^*$  is said to have Property  $(\mathcal{X}^*)$  if any  $F \in X^{***}$  which satisfies  $\sum F(x_n) = F(\sum^* x_n)$  for every *wuC*-series  $\sum x_n$  in  $X$ , must be in  $X^*$ .

The proof of the following lemma is straightforward.

LEMMA 4.2. *Suppose  $T : c_0 \rightarrow X$  is a co-Tauberian operator. Then  $X^*$  has Property  $(\mathcal{X}^*)$ .*

We now consider a natural class of Banach spaces satisfying Property  $(\mathcal{X}^*)$ . Recall that a subspace  $Y \subseteq X$  is called an  $L$ -summand if there exists  $E \subseteq X$  such that  $X = Y \oplus_1 E$ . A Banach space  $X$  which is  $L$ -summand in  $X^{**}$  is called an  $L$ -embedded space.  $X$  is called  $M$ -embedded if  $X^\perp$  as a subspace of  $X^{***}$  is an  $L$ -summand. The book [10] is a standard reference for  $M$ - and  $L$ -embedded spaces.

If  $X$  is a separable  $M$ -embedded space, its dual  $X^*$  is a separable  $L$ -embedded space (see [10]).

LEMMA 4.3. *Suppose  $X$  is a separable  $M$ -embedded space. Then for each  $F \in X^{***} \setminus X^*$  there exists a *wuC*-series  $\sum x_n$  in  $X$  such that  $F(\sum^* x_n) - \sum F(x_n) > \frac{1}{2} \text{dist}(F, X^*)$  and  $\|\sum^* x_n\| = 1$ .*

*Proof.* Let  $F \in X^{***} \setminus X^*$ . Since  $X^{***} = X^* \oplus_1 X^\perp$  we can write  $F = x^* + \tau$ ,  $x^* \in X^*$ ,  $\tau \in X^\perp$ ,  $\tau \neq 0$ . Thus  $\text{dist}(F, X^*) = \|\tau\|$ . Take  $0 < \varepsilon < \frac{1}{4}\|\tau\|$ . Then we can find an  $x^{**} \in S_{X^{**}}$  such that  $\|F\| - \varepsilon < F(x^{**}) = x^{**}(x^*) + \tau(x^{**})$ . But  $\|F\| = \|x^*\| + \|\tau\|$  and hence  $\tau(x^{**}) > \frac{1}{2}\|\tau\|$ . Since  $X$  is M-embedded, by [10, Theorem I. 2. 10] for each  $x^{**} \in X^{**}$  there exists  $wuC$ -series  $\sum x_n$  in  $X$  such that  $x^{**} = \sum^* x_n$ . Observe that  $\sum \tau(x_n) = 0$ . ■

LEMMA 4.4. *Let  $X$  be a separable M-embedded space and  $N \subseteq X^{***}$  be a separable subspace. Then there exists a  $wuC$ -series  $\sum x_n$  in  $X$  such that if  $F \in N$ , satisfies  $\sum a_n F(x_n) = F(\sum^* a_n x_n)$  for all bounded sequence  $(a_n)$ , then  $F \in X^*$ .*

*Proof.* Let  $(F_n)$  be a dense sequence in  $S_N \setminus X^*$ . Since  $X^*$  is  $L$ -embedded, we can write  $X^{***} = X \oplus_1 X^\perp$  and thus each  $F_n$  can be decomposed as  $F_n = x_n + \tau_n$  with  $\|F_n\| = \|x_n^*\| + \|\tau_n\|$ . Then  $\text{dist}(F_n, X) = \|\tau_n\|$ .

By the Lemma 4.3, for each  $n$  there exists a  $wuC$ -series  $\sum_k x_{nk}$  in  $X$  such that  $\tau_n(\sum_k^* x_{nk}) > \frac{1}{2}\|\tau_n\| > 0$ ,  $\sum_k \tau_n(x_{nk}) = 0$  and  $\|\sum_k^* x_{nk}\| = 1$ .

We get  $\mathbb{N}_n$  infinite disjoint subsets of  $\mathbb{N}$  such that  $\mathbb{N} = \cup \mathbb{N}_n$ . Ordering appropriately, we assume  $\mathbb{N}_n = \{m_i^n\}_{i=1}^\infty$  where  $m_1^n < m_2^n < \dots$ . We now take  $y_{nk} = 2^{-n} x_{nm_k^n}$ ,  $k \in \mathbb{N}_n$ . Then  $\sum_{nk} y_{nk}$  is a  $wuC$ -series in  $X$ .

Let  $F \in S_N \setminus X^*$ . Choose  $0 < \varepsilon < \text{dist}(F, X^*)/10$ . Since  $\{F_n\}$  is dense in  $S_N \setminus X^*$ , it follows that there exists an  $n$  such that  $\|F - F_n\| < \varepsilon$ . Take  $a_{nk} = 2^n$ , if  $k \in \mathbb{N}_n$  and  $a_{nk} = 0$  otherwise.

We now estimate

$$\begin{aligned} F(\sum_{nk}^* a_{nk} y_{nk}) - \sum_{nk} a_{nk} F(y_{nk}) &= F(\sum_k^* x_{nk}) - \sum_k F(x_{nk}) \\ &> F_n(\sum_k^* x_{nk}) - \varepsilon - \sum_k F_n(x_{nk}) - \varepsilon \\ &\geq \frac{1}{2} \text{dist}(F, X) - 3\varepsilon > 0. \end{aligned}$$

This completes the proof. ■

Let  $X$  be a Banach space and  $\sum x_n$  is a  $wuC$ -series in  $X^*$ . We define the following subspace of  $X^{***}$ ,

$$N(\sum x_n) = \{F \in X^{***} : F(\sum^* a_n x_n) = \sum a_n F(x_n) \text{ for all bounded sequence } (a_n)\}.$$

**THEOREM 4.5.** *Let  $X$  be a separable  $M$ -embedded space. The following assertions are equivalent:*

- (a) *There exists a  $wuC$ -series  $\sum x_n$  in  $X$  such that  $N(\sum x_n)$  is separable.*
- (b) *There exists a co-Tauberian operator  $T : c_0 \rightarrow X$ .*

*Proof.* (a)  $\Rightarrow$  (b): Taking  $N = N(\sum x_n)$  in Lemma 4.4, there exists  $wuC$ -series  $\sum y_n$  in  $X$  such that for each  $F \in N \setminus X^*$  there exists a bounded sequence  $(b_n)$  with the property  $\sum b_n F(y_n) \neq F(\sum^* b_n y_n)$ . Take  $z_n = y_n$  for  $n$  odd and  $z_n = x_n$  for  $n$  even.

We now define the required co-Tauberian operator  $T : c_0 \rightarrow X$ . Let  $\{u_n\}$  be the standard unit vector basis of  $c_0$ . We first take  $Tu_n = z_n$ . Now for any  $u \in c_0$ , there exists  $\{\alpha_n\}$  scalars and  $\alpha_n \rightarrow 0$  such that  $u = \sum \alpha_n u_n$ . Extend  $T$  to whole of  $c_0$  by taking  $Tu = \sum \alpha_n z_n$ . Note that  $\sum z_n$  is  $wuC$ -series in  $X$ , and therefore,  $T$  is well-defined.

We now verify that  $T$  is co-Tauberian, that is  $T^*$  is Tauberian. Suppose on the contrary, there exists  $F \in X^{***} \setminus X^*$  such that  $T^{***}F \in \ell_1$ . By Lemma 4.4, we fix a bounded sequence  $\{b_n\}$  such that  $\sum b_n F(y_n) \neq F(\sum^* b_n y_n)$ . Since  $T^{***}F \in \ell_1$ , we have  $(T^{***}F)(\sum^* a_n u_n) = \sum a_n F(T^{**}(u_n))$  for all bounded sequences  $(a_n)$ . This implies  $F(\sum^* a_n z_n) = \sum a_n F(z_n)$ . Taking  $a_n = 0$  for  $n$  odd we observe that  $F \in N$ . But taking  $a_n = b_n$  for  $n$  odd and 0 for  $n$  even we get the contradiction to the choice of  $\sum y_n$ . Thus  $T^*$  is Tauberian.

(b)  $\Rightarrow$  (a): If  $T : c_0 \rightarrow X$  is co-Tauberian take  $x_n = Tu_n$ . It is easy to see  $N(\sum x_n) = X^*$  and  $X$  being an  $M$ -embedded space,  $X^*$  is separable.  $\blacksquare$

Let  $X$  be a separable  $L$ -embedded space. In a recent work [16], H. Pfitzner has shown that  $X$  has Property  $(\mathcal{X})$ . Moreover, from his proof it follows:

**LEMMA 4.6.** *Let  $X$  be a separable  $L$ -embedded space and  $X^{**} = X \oplus_1 E$ . Let  $F \in X^{**}$ . If  $F = x + \tau$ ,  $x \in X, \tau \in E$ , there exists  $\sum f_n$  in  $X^*$ , satisfying  $\sum |f_n(x)| < \infty$  for each  $x \in X$ , such that  $F(\sum^* f_n) - \sum F(f_n) = \text{dist}(F, X) = \|\tau\|$  and  $\|\sum^* f_n\| = 1$ .*

A slight modification of the proof of Lemma 4.4 now gives,

**LEMMA 4.7.** *Let  $X$  be a separable  $L$ -embedded space and  $N \subseteq X^{**}$  be a separable subspace. Then there exists a series  $\sum f_n$  in  $X^*$  such that if  $F \in N$ , satisfies  $\sum a_n F(f_n) = F(\sum^* a_n f_n)$  for all bounded sequence  $(a_n)$ , then  $F \in X$ .*

Following the same line of proof as in Theorem 4.5, we have,

THEOREM 4.8. *Let  $X$  be a separable  $L$ -embedded space. The following assertions are equivalent:*

- (a) *There exists a series  $\sum f_n$  in  $X^*$  satisfying  $\sum |f_n(x)| < \infty$  for each  $x \in X$ , such that the following subspace of  $X^{**}$  is separable:*

$$N(\sum f_n) = \{F \in X^{**} : F(\sum^* a_n f_n) = \sum a_n F(f_n) \\ \text{for all bounded sequence } (a_n)\}.$$

- (b) *There exists a Tauberian operator  $T : X \rightarrow \ell_1$ .*

*Remark 4.9.* The notion of  $M$ -embedded Banach space is an isometric property. However, it is clear that Theorem 4.5 holds true with the assumption that  $X$  is isomorphic to a separable  $M$ -embedded space.

#### ACKNOWLEDGEMENTS

We would like to thank the referee for his valuable suggestions which improved the paper.

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